

The Disciplinary Board

DECISION ON THE MAXIMUM FINE AT THE ADJUDICATION STAGE

(Version 1.1 May 2006)

Introductory

- (i) The Disciplinary Board oversees the monitoring of the profession's disciplinary schemes.*
- (ii) Rule 9.1(b) of the schemes states that the functions of the Disciplinary Board include deciding the maximum fine which an Adjudication Panel may invite a Respondent to pay under rule 4.4(b) of the schemes. This means the aggregate total, if more than one fine is imposed.*
- (iii) Before issuing the guidelines the Board has considered the practice of other professional regulatory bodies and the courts.*

1. For the purpose of rule 9.1(b) of the disciplinary schemes, the Disciplinary Board has decided that the maximum fine which an Adjudication Panel may invite a Respondent to pay under rule 4.4(b) of each scheme is £5000 (in aggregate if more than one fine is offered).
2. The Board will review all cases where the maximum fine is imposed.
3. The Board will keep this decision under annual review.

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