

Capacity for Membership Panel Hearing

9 November 2018

The Resolution Centre, Floor 5, The Boat Building, 49 Queens Square, Belfast, BT1 3FG

Respondent: Ian William Conlon FIA
Present and not represented

Category: Fellow from 31 July 1998

ARN: 2476

IFoA Case Presenter: Stephen Ferson, Counsel, Kingsley Napley,
instructed by the IFoA.

Panel Members: Iain McGrory (Chair/Lay member)
Janet Moss FIA (Actuary member)
Peter Aspinall (Lay member)

Legal Adviser: Graeme Watson

Judicial Committees Secretary: Pauline Wharton

Outcome: The Panel imposed 11 conditions on the Respondent's Membership for a period of 6 months.

Summary

1. On 9 November 2018, the Respondent appeared at a Capacity for Membership Panel, at the Resolution Centre, Floor 5, The Boat Building, 49 Queens Square, Belfast, convened in terms of the IFoA Disciplinary and Capacity for Membership Schemes.
2. The Hearing was held in private and considered evidence relating to a charge that had been brought by the IFoA against the Respondent. The charge contained two allegations and seventeen elements. The Respondent admitted the charge and the seventeen elements.
3. The Panel was satisfied that the evidence provided met the standard required to prove the facts alleged in the charge. It found one of the elements of the charge not proven.
4. The Panel determined that in terms of Rule 14.2 of the Capacity for Membership Scheme, it was satisfied that the facts of the allegations were proven save for one element and that the current capacity of the Respondent to be a member was materially impaired and this was directly relevant to the proven elements of the charge.
5. The Panel is of the opinion that the deficiencies which led to the findings of fact are primarily of a non-actuarial nature. Rather, they are of a business management nature which resulted in a serious shortfall in the level of service provided. The Panel is therefore concerned that unless these deficiencies are remedied the Respondent may be the subject of future complaints.
6. Having considered all of the evidence in this case the Panel determined that by imposing Special Conditions for a period of six months a balance could be struck between ensuring that public interest considerations are met and providing support to the Respondent.
7. The Panel's full written determination is a private document. However, it determined that it was in the public interest to publish this summary document.

Performance Conditions – Public

1. You will identify a suitable business management expert, to be approved by the IFoA, with whom you agree to meet in terms of condition 3.
2. You will provide this person with a copy of the private written determination and with full and complete information regarding your business.
3. You must meet this person to develop effective measures to manage and plan your workload, to identify issues which may lead to a complaint and to put in place measures to rectify such issues.
4. You must meet with this person on a weekly basis (save for agreed annual leave, sickness absence or other similar circumstances) to review your workload and the effectiveness of these measures.
5. You will implement any recommendations arising from these reviews.
6. You will arrange for this person to provide to you with a monthly progress report which you will submit to the IFoA on a monthly basis.
7. You will report to the IFoA any complaints you receive about your work, as soon as is reasonably practicable.
8. These conditions will be in place for a period of six months from the date of this determination.