



## DPB Board

22 September 2020, Time 10.30 – 13.00

All via Video Conference

<b>Attending:</b> James Smith, Chair (JS) Andrew Allsopp (AA) Alan Kershaw (AK) Darius Mayhew (DM) John Birkenhead (JB) Tim Russell (TR)	<b>Executive Staff:</b> Judith Joy (JJ) Gina Thomas (GT)
<b>Invitees:</b> Ben Kemp (BK)	
<b>Apologies:</b>	

Item	Title	Papers	Pages	Lead	Timings
1.	<b>Welcome and minutes</b>			JS	10:30
1.1	To approve minutes of June 2020	1	3-7		
1.2	To review live action list	2	8-13		
2.	<b>Confidential Matter</b>			JJ/ BK	10:45
	To discuss a confidential matter.	<i>Verbal</i>			
3.	<b>Standing items</b>			JS	11:15
3.1	To declare any conflicts of interest				
3.2	To discuss the DPB Board Work Plan	3	14-15		
3.3	To discuss the DPB Board Risk Register	4	16-17		
4.	<b>Firm specific matters</b>			JS	11:30
	To note developments, and matters arising since the last meeting.	5	18-19		
5.	<b>Confidential Matter</b>			JJ	11:40
	The Board discussed a confidential matter.	6	20-35		
6.	<b>Annual Return</b>			JJ	12:10
	To approve content of Annual Return form 2020	7	36-63		
	To note update on practicalities of form				
7.	<b>Monitoring by ICAEW QAD</b>			JS	12:30
	To discuss a confidential matter	8	64-67		



# Agenda

Item	Title	Papers	Pages	Lead	Timings
	To note update on QAD assessments in 2020				
	To consider current checklist for QAD assessment and determine if amendments are required				
<b>8.</b>	<b>Governance and Transparency</b>			JJ	12:40
	To approve redacted minutes to be published on website	<b>9</b>	<b>68-77</b>		
	To note update on changes made to the website for DPB				
<b>9.</b>	<b>Data Protection</b>			JJ	12:45
	To note update on data protection and retention of DPB related papers	<b>10</b>	<b>78-90</b>		
<b>10.</b>	<b>Regulation Round-up</b>			JS	12:55
	To note and discuss	<b>11</b>	<b>91-125</b>		
<b>11.</b>	<b>AOB</b>				
<b>s</b>					