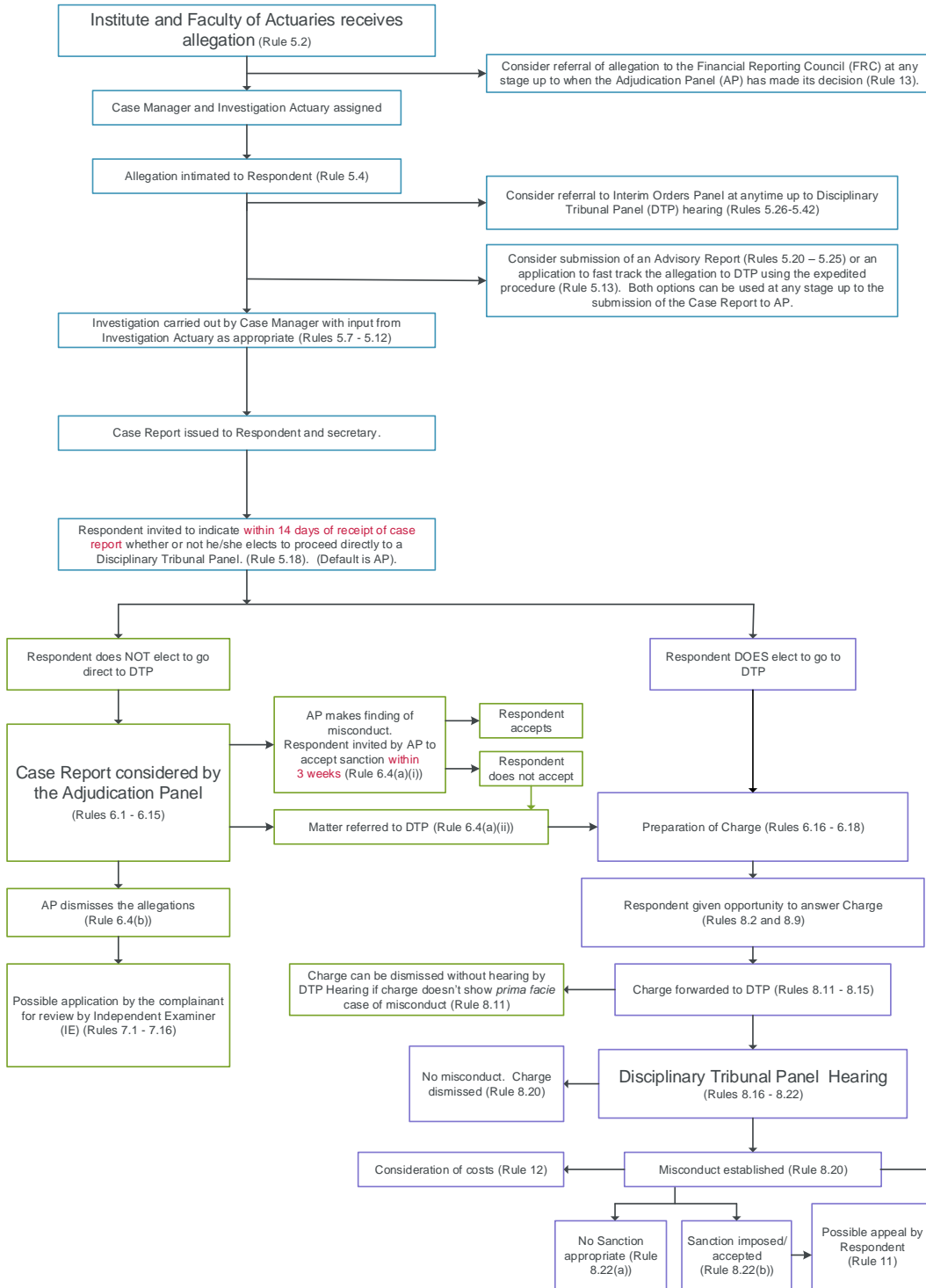


Disciplinary Scheme: Investigation and Procedural Framework



Note: The Capacity for Membership process provides an alternative process where the Respondent's health may have been materially impaired at the time of the alleged misconduct and continues to be a significant factor. An Application for transfer to the Capacity for Membership process can be made at any stage up until when the final determination is issued.